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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

S. APPLICATION SERIAL NO.:

10/647,824

U.S. PUBLICATION NO:

20050050222 A1

INVENTOR:

PACKER, Alan

RECEIVED

ASSIGNEE:

Microsoft Corporation

MAY 0 9 2005

U.S. FILING DATE:

August 25, 2003

Technology Center 2100

U.S. PUBLICATION DATE:

March 3, 2005

TITLE:

URL BASED FILTERING OF ELECTRONIC COMMUNICATIONS AND WEB PAGES

THIRD PARTY SUBMISSION OF PRIOR ART IN PUBLISHED PATENT APPLICATION UNDER 37 C.F.R. § 1.99

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 May 2, 2005

Sir:

In accordance with 37 C.F.R. § 1.99, the Examiner in charge of the above-identified application is respectfully requested to enter the following prior art publications into the above-identified U.S. application file.

Internet Security Systems, Inc. (hereinafter, the "Petitioner") calls the attention of the Examiner to the following publications which, in the Petitioner's opinion, would make the grant of a patent in connection with the above-identified application improper.

Certificate of Mailing

I hereby certify that this correspondence is being deposited as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandra, VA 22313, on May 2, 2005.

V Scott Petty, Reg. No. 35,645

The Petitioner respectfully submits that this Third-Party Submission of Prior Art has been timely submitted in order to be assured of consideration and entered into the application file of the referenced application. This Submission has been submitted within two months after the publication of the application by the U.S. Patent Office, March 3, 2005.

In accordance with Rule 99, this Submission identifies the patent application to which it is directed, by application number and publication number, and is accompanied by the petition fee set forth in Rule 1.17(p), a list of the publications submitted for consideration by the Office, including the date of each publication, a copy of each listed publication in written form, a Declaration of Rene Seeber and a Certificate of Service certifying service of this Submission upon the Applicant (by service upon Applicant's Agent).

The Petitioner deems that the publications cited herein raise issues of a serious nature which require further consideration by the Examiner. The prior art disclosed herein may clearly anticipate or render obvious one or more claims of the above-identified application.

Service on Applicant

This Submission has been served upon the Applicant's agent in accordance with 37 C.F.R. § 1.248. This Submission has been served by first-class mail, postage prepaid, to the Agent of the above-identified application, which is believed to be Senniger Powers Leavitt and Roedell, based on application information mentioned above.

The current mailing address of the Agent's usual place of business is believed to be as follows:

Senniger Powers Leavitt and Roedel One Metropolitan Square 16th Floor St. Louis, MO 63102

The Petitioner, via first-class mail, postage prepaid, has mailed a copy of this Submission to the Agent at his usual place of business in accordance with 37 C.F.R. § 1.248. Attached hereto is a certificate of service.

Listing of Publications

In accordance with 37 C.F.R. § 1.99, attached hereto is an Information Disclosure Statement and a PTO-1499, which lists each publication submitted herewith.

The listed publications include:

- (1) "OrangeBox Mail 2.0 User Manual," version, 1.2, which was published at least as early as that product's formal release date of June 20, 2003.
- (2) "OrangeBox Mail All Around Protection for Your Company's E-mail", which was published at least as early as that product's formal release date of <u>June 20, 2003</u>.
- (3) "Cobion to Unveil Anti-Spam Product for Businesses and Home Web Filtering Product for ISPs," published by Primeur Monthly on March 12, 2003 and available on-line at www.hoise.com/primeur/03/articles/monthly/AE-PR-04-03-46.html.

Declaration of Rene Seeber

The Declaration of Rene Seeber, who is employed by the Petitioner, Internet Security Systems, Inc., provides factual statements regarding Mr. Seeber's personal knowledge about the ORANGEBOX MAIL, version 2.0 product, and the publication date for relevant publications identified by this Submission.

CONCLUSION

In view of the above, the Petitioner respectfully submits that the Submission herewith is complete and is in full conformance with 37 C.F.R. § 1.99. The Petitioner respectfully submits that the scope of "ORANGEBOX MAIL" product disclosure by the aforementioned prior art publications is of a serious nature, and these publications may clearly anticipate or render obvious one or more or all of the claims of the above-identified application.

The Examiner is respectfully requested to consider all of the above-identified publications listed on the attached PTO-1449 Form, enter the documents into the Application

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file, and initial adjacent to the citations in the boxes provided on the Form PTO-1449 as considered.

Respectfully submitted,

KING & SPALDING LLP on behalf of Petitioner,

BY:

Registration No. 35,645

May 2, 2005

Attachments:

Information Disclosure Statement (PTO-1449 Form)
Three (3) Publications
Declaration of Rene Seeber with Exhibits A and B
Certificate of Service
Postcard Receipt

CERTIFICATE OF SERVICE

It is hereby certified that a copy of the foregoing

THIRD PARTY SUBMISSION OF PRIOR ART IN PUBLISHED PATENT APPLICATION UNDER 37 C.F.R. § 1.99

with attachments, was served by first-class mail, to

Senniger Powers Leavitt and Roedel One Metropolitan Square 16th Floor St. Louis, MO 63102

postage prepaid on this 2nd day of May, 2005.

W Soott Petty

Reg. No. 35,645

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